



Docket No.: E-80366

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By: Kyle H. Flindt

Date: July 26, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No. : 10/823,986 Confirmation No: 5321  
Applicant : Rolf Brück  
Filed : April 13, 2004  
Art Unit : 1724  
Title : Filter Assembly, Process for Producing the Filter Assembly and  
Filter Body Having the Filter Assembly  
Docket No. : E-80366  
Customer No. : 24131

**REQUEST FOR FILING RECEIPT CORRECTION**

Hon. Commissioner of Patents and Trademarks,

Sir:

Undersigned counsel has received the Filing Receipt for the above-identified application.

However, the Assignment for published patent application information was listed incorrectly and should be listed as follows:

**EMITEC Gesellschaft für Emissionstechnologie mbH;**

The priority information was listed incorrectly and should be as follows:

- **THIS APPLN IS A CON OF PCT/EP02/11683 October 18, 2002**

The foreign application information was listed incorrectly and should be as follows:

- **GERMANY 101 53 284.9 October 29, 2001**

It is respectfully requested that the Patent Office Records be changed and that a new Filing Receipt be issued, so that the printed patent will show the correct assignee, priority and foreign application information.

Respectfully submitted,

Kyle H. Flindt  
KYLE H. FLINDT  
REG NO. 42,539

Enclosed: Copy of the Filing Receipt  
Copy of the first page of the Declaration  
Copy of first page of Original Application

/av

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/823,986	04/13/2004	1724	1004	E-80366	4	33	2

24131  
LERNER AND GREENBERG, PA  
P O BOX 2480  
HOLLYWOOD, FL 33022-2480

CONFIRMATION NO. 5321

FILING RECEIPT



\*OC000000013057929\*

Date Mailed: 06/25/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rolf Bruck, Bergisch Gladbach, GERMANY ✓

Assignment For Published Patent Application

EMITEC Gesellschaft für Emissionstechnologie mbH;

Domestic Priority data as claimed by applicant

Foreign Applications

GERMANY 101 53 284.9 10/29/2001 ✓  
EUROPEAN PATENT OFFICE (EPO) PCT/EP02/11683 10/18/2002

If Required, Foreign Filing License Granted: 06/23/2004

Projected Publication Date: 09/30/2004

Non-Publication Request: No

Early Publication Request: No

Title

Filter assembly, process for producing the filter assembly and filter body having the filter assembly

**BEST AVAILABLE COPY****Preliminary Class**

055

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

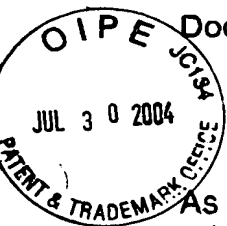
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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No.: E-80366

**COMBINED DECLARATION AND POWER OF ATTORNEY  
IN ORIGINAL APPLICATION**

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**FILTER ASSEMBLY, PROCESS FOR PRODUCING THE FILTER ASSEMBLY  
AND FILTER BODY HAVING THE FILTER ASSEMBLY**

described and claimed in the specification bearing that title, that I understand the content of the specification, that I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, that I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR § 1.56, and that no application for patent or inventor's certificate of this invention has been filed earlier than the following in any country foreign to the United States prior to this application by me or my legal representatives or assigns:

German Application 101 53 284.9, filed October 29, 2001, the International Priority of which is claimed under 35 U.S.C. § 119; and International Application PCT/EP02/11683, filed October 18, 2002, the Priority of which is claimed under 35 U.S.C. § 120.

I hereby appoint practitioners associated with the Customer Number

**24131**

as my attorneys and/or agents to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

Address all correspondence and telephone calls to:

**LERNER AND GREENBERG, P.A.**  
POST OFFICE BOX 2480  
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E-80366

FILTER ASSEMBLY, PROCESS FOR PRODUCING THE FILTER ASSEMBLY  
AND FILTER BODY HAVING THE FILTER ASSEMBLY

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Cross-Reference to Related Application:

This application is a continuation, under 35 U.S.C. § 120, of  
copending International Application No. PCT/EP02/11683, filed  
October 18, 2002, which designated the United States; this  
10 application also claims the priority, under 35 U.S.C. § 119,  
of German Patent Application 101 53 284.9, filed October 29,  
2001; the prior applications are herewith incorporated by  
reference in their entirety.

15 Background of the Invention:

Field of the Invention:

The invention relates to a filter assembly through which a  
fluid can flow. The invention also relates to a filter body  
for purifying or cleaning an exhaust-gas stream from an  
20 internal combustion engine, which is constructed by using the  
filter assembly according to the invention. Furthermore, the  
invention relates to a process for producing a filter  
assembly.

25 If new vehicle registrations in Germany are considered, it  
will be found that in the year 2000 around one third of all